

Sleepless Nights, Better Measures? A Head-to-Head Comparison of Bayesian and Frequentist Approaches to Validate Quality-of-Life Instruments in Sleep Disorder Epidemiology.

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Background

- Item response theory (IRT) and classical test theory (CTT) are common approaches for validating QoL instruments.
- In Bayesian validation, uncertainty expressed by assigning a prior distribution that reflects prior knowledge considered natural approach.
- But little is known about performance of Bayesian performance of IRT/CTT validation vs Frequentist IRT/CTT validation in sleep epidemiology.





Why Sleep?

- Societal Consequences:
 - Productivity, safety, health, and well-being
 - 4-23% for insomnia, 9-38% for Obstructive Sleep Apnoea (OSA)
 - Economic Impact: \$1.8 billion (Portugal) \$207.5 billion (USA) in 2017).





Objectives

 Compare the performance of Bayesian IRT/CTT validation vs Frequentist IRT/CTT validation approaches.

 Does this performance differ by type of instrument (generic versus condition(sleep)-specific)?





Data (1,510 Australians with self-reported sleep disorders)

- Survey, including QoL instruments:
 - Assessment of Quality of Life 4 Dimensions (AQoL-4D)
 - EQ5D 5 Dimensions 5 Levels (EQ5D-5L)
 - ICEpop CAPability measure for Adults (ICECAP-A)
 - Epworth Sleepiness Scale (ESS)
 - Functional Outcomes of Sleep Questionnaire 10 Dimensions (FOSQ-10)
- Mean age: 46 years.





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Generic



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- Mean age: 46 years.

Generic

Sleep-specific





	AQoL-4D	EQ-5D 5L	ICECAP-A	ESS	FOSQ-10
	Health-related QoL	Health-related QoL	Capability	Sleepiness scale	Functional status
	4 Dimensions	5 Dimensions	5 attributes	8 subscales	5 subscales
Dim ensi ons	•	1.Mobility2.Self-care;3.Usual activities4.Anx/Depression5.Pain/discomfort		1.Sitting & Reading 2.Watching TV 3.Sitting inactive 4.Passenger in car 5.Lying down to rest 6.Sitting and talking 7.Sitting quietly 8.Sitting in stationery car	1.General productivity 2.Activity level 3.Vigilance 4.Social outcomes 5.Intimacy and sexual relationships
# Que stio ns & Scor	12 Questions Utilities (-0.04 - 1)	5 Questions Utilities (-0.59 – 1)	5 Questions Utilities (0 – 1)	8 Questions Summative score (0-24)	10 Questions Summative score (5-20)
Scor					

	AQoL-4D Health-related QoL	EQ-5D 5L Health-related QoL	ICECAP-A Capability	ESS Sleepiness scale	FOSQ-10 Functional status
	4 Dimensions	5 Dimensions	5 attributes	8 subscales	5 subscales
Dim ensi ons	J. Nelationships	1.Mobility 2.Self-care; 3.Usual activities 4.Anx/Depression 5.Pain/discomfort	5.Autonomy	1.Sitting & Reading 2.Watching TV 3.Sitting inactive 4.Passenger in car 5.Lying down to rest 6.Sitting and talking 7.Sitting quietly	1.General productivity 2.Activity level 3.Vigilance 4.Social outcomes 5.Intimacy and sexual relationships
				8.Sitting in stationery car	
# Que		5 Questions	5 Questions	8 Questions	10 Questions
stio ns &	Utilities (-0.04 – 1)	Utilities (-0.59 – 1)	Utilities (0 – 1)	Summative score (0-24)	Summative score (5- 20)
Scor ing					

T		AQoL-4D	EQ-5D 5L	ICECAP-A	ESS	FOSQ-10
4		Health-related QoL	Health-related QoL	Capability	Sleepiness scale	Functional status
		4 Dimensions	5 Dimensions	5 attributes	8 subscales	5 subscales
		•	1.Mobility	1.Attachment	1.Sitting & Reading	1.General
		Living	2.Self-care;	2.Stability	2.Watching TV	productivity
4		2.Mental Health	3.Usual activities	3.Achievement	3.Sitting inactive	2.Activity level
	_	3.Relationships	4.Anx/Depression	4.Enjoyment	4.Passenger in car	3.Vigilance
		4.Senses	5.Pain/discomfort	5.Autonomy	5.Lying down to rest	4.Social outcomes
	ons				6.Sitting and talking	5.Intimacy and sexual
					7.Sitting quietly	relationships
					8.Sitting in stationery car	·
	#	12 Questions	5 Questions	5 Questions	8 Questions	10 Questions
	Que			111111111111111111111111111111111111111	(0.04)	Commenting soons /F
	stio	Utilities (-0.04 – 1)	Utilities (-0.59 – 1)	Utilities (0 – 1)	Summative score (0-24)	Summative score (5-
	ns &					20)
	Scor					
	ing					

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		Health-related QoL	Health-related QoL	Capability	Sleepiness scale	Functional status
		4 Dimensions	5 Dimensions	5 attributes	8 subscales	5 subscales
		1.Independent	1.Mobility		1.Sitting & Reading	1.General
		Living	2.Self-care;	2.Stability	2.Watching TV	productivity
	Dim		3.Usual activities		3.Sitting inactive	2.Activity level
		•	<u>'</u>	4.Enjoyment	4.Passenger in car	3.Vigilance
		4.Senses	5.Pain/discomfort	5.Autonomy	5.Lying down to rest	4.Social outcomes
	ons				6.Sitting and talking	5.Intimacy and sexual
					7.Sitting quietly	relationships
					8.Sitting in stationery car	·
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	Que				(0.04)	C
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1	ns &					20)
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Classical Test Theory (CTT) Approach

- Construct (convergent and discriminant) validity
- Confirmatory Factor Analysis.
- Based on International Classification of Functioning, Disability, and Health (ICF) framework.





Classical Test Theory (CTT) Approach

- Convergent Validity
 - AVE > 0.5
 - AVE (average variance extracted) = amount of variation in a latent variable that can be explained by its own indicators.
- Discriminant validity
 - AVE > squared correlation (Corr²)
 - Corr² = amount of variation in latent variable that can be explained by indicators of another/other latent variables.





Item Response Theory (IRT) Approach

Estimate calculated: Discrimination parameters

 Instrument dimensions ranked according to their discrimination parameter values by Factor and Type of instrument.





Bayesian vs Frequentist Methods

Bayesian

- Bayesian Priors
 - Dirichlet distribution
 - Weakly informative priors on the hierarchical SDs

Frequentist

Maximum likelihood estimation





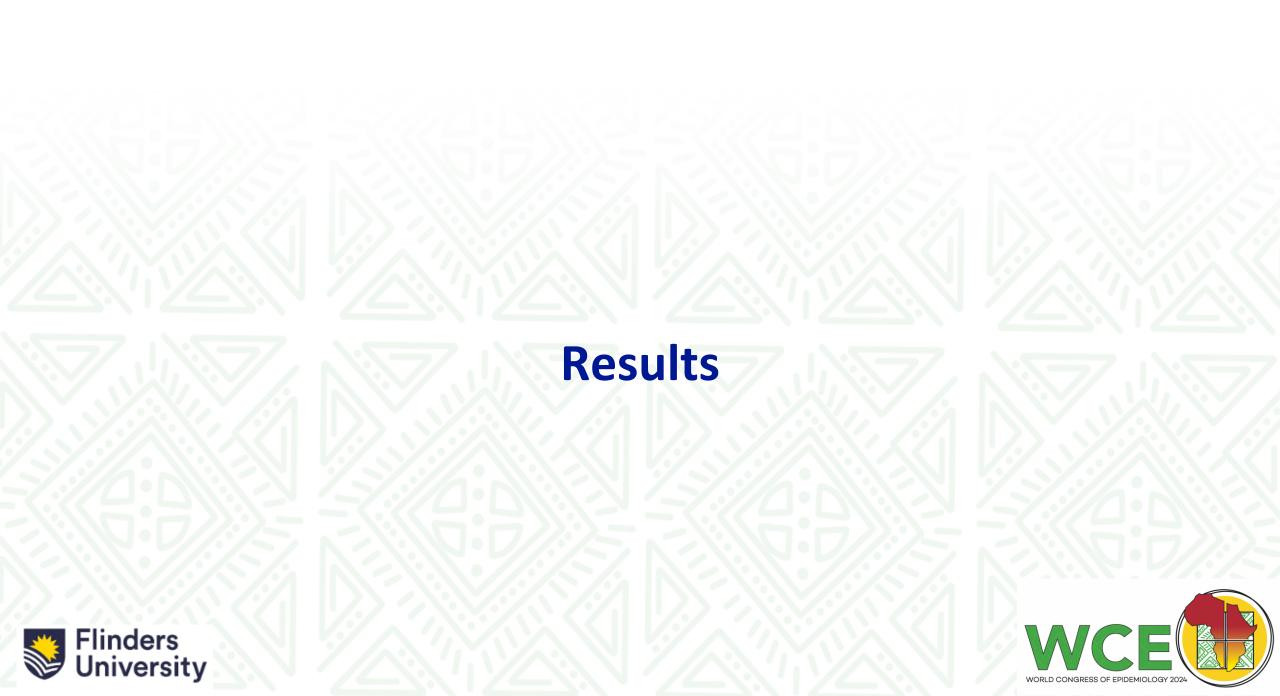
Bayesian vs Frequentist Methods

Agreement

- Concordance correlation Coefficients
- Kendall's Tau-B correlations
- Krippendorf's alpha







CTT - Convergent and Discriminant Validity Results

			Type of	approach
Instrument	Factor	Validity type	Bayesian	Frequentist
XXXX	1	Convergent	No Problem	No Problem
FOED EL	1	Discriminant	No Problem	Problem
EQ5D-5L		Convergent	Problem	Problem
	2	Discriminant	No Problem	Problem
		Convergent	Problem	Problem
AO-1 4D	1	Discriminant	Problem	Problem
AQoL-4D	2	Convergent	Problem	Problem
		Discriminant	Problem	Problem
	(A) = 7/5.	Convergent	Problem	No Problem
ICECAD A	1	Discriminant	Problem	Problem
ICECAP-A	(6) (8)	Convergent	No Problem	No Problem
	2	Discriminant	Problem	Problem
X4/4/18		Convergent	No Problem	No Problem
FOSO 10	1	Discriminant	Problem	Problem
FOSQ-10		Convergent	No Problem	No Problem
	2	Discriminant	Problem	Problem
	%XXXA	Convergent	Problem	Problem
FCC	1	Discriminant	Problem	Problem
ESS		Convergent	No Problem	No Problem
	2	Discriminant	Problem	Problem



CTT - Convergent and Discriminant Validity Results

			Type of approach	
Instrument	Factor	Validity type	Bayesian	Frequentist
	1	Convergent	No Problem	No Problem
EQ5D-5L	1	Discriminant	No Problem	Problem
EQJD-3E	2	Convergent	Problem	Problem
	2	Discriminant	No Problem	Problem
	1	Convergent	Problem	Problem
AQoL-4D	1	Discriminant	Problem	Problem
AQUL-4D	2	Convergent	Problem	Problem
		Discriminant	Problem	Problem
	1	Convergent	Problem	No Problem
ICECAP-A	_	Discriminant	Problem	Problem
ICECAI A	2	Convergent	No Problem	No Problem
	2	Discriminant	Problem	Problem
	1	Convergent	No Problem	No Problem
FOSQ-10	_	Discriminant	Problem	Problem
1030 10	2	Convergent	No Problem	No Problem
	_	Discriminant	Problem	Problem
	1	Convergent	Problem	Problem
ESS	1	Discriminant	Problem	Problem
	2	Convergent	No Problem	No Problem
	۷	Discriminant	Problem	Problem

AGREEMENT

CC coefficients

Generic: 0.40

Sleep: 1.00

Both: 0.66

Kendall's Tau B

Generic: 0.41

• Sleep: 1.00

Both: 0.66

Krippendorf's alpha

Generic: 0.42

Sleep: 1.00

Both: 0.67

CTT - Convergent and Discriminant Validity Results

		1/2/5/2	Type of approach	
Instrument	Factor	Validity type	Bayesian	Frequentist
6.67	1	Convergent	No Problem	No Problem
EQ5D-5L		Discriminant	No Problem	Problem
LQJD-JL	2	Convergent	Problem	Problem
>	2	Discriminant	No Problem	Problem
	1	Convergent	Problem	Problem
AQoL-4D		Discriminant	Problem	Problem
AQUL-4D	2	Convergent	Problem	Problem
· ·		Discriminant	Problem	Problem
	1	Convergent	Problem	No Problem
ICECAP-A		Discriminant	Problem	Problem
ICLCAI -A	2	Convergent	No Problem	No Problem
		Discriminant	Problem	Problem
	1	Convergent	No Problem	No Problem
FOSQ-10		Discriminant	Problem	Problem
103Q-10	2	Convergent	No Problem	No Problem
シバニ シ	2	Discriminant	Problem	Problem
	1	Convergent	Problem	Problem
ESS		Discriminant	Problem	Problem
	2	Convergent	No Problem	No Problem
	2	Discriminant	Problem	Problem

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Generic: 0.42

Sleep: 1.00

Both: 0.67

	Instrument	Factor	domain	Bayesian	Frequentist
	/.:///\\\ \	1	Mobility	1	1
		1	Activity	2	2
	EQ5D-5L	2	Selfcare	3	2
	~\\:\\\•\	2	Pain	1	1
		2	Anxiety	2	3
		1	Self	4	2
	/~\\ /\\\\\	1	House	6	3
	<u> </u>	1	Mobility	3	1
		1	Rel	7	6
9		1	Friend	8	7
١	100L 4D	1	Fam	2	4
	AQoL-4D	1	Sleep	9	9
		1	General	5	8
1	\ <i>`\:\/\</i> \\	1	Pain	1	5
	/.://n.n	2	Eye	1	3
	·XX 生 。 上	2	Hear	3	1
		2	Comm	2	2
1	\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	1	Secure	2	2
		1	Independent	3	3
	ICECAP-A	1	Achieve	1	1
	$\langle \cdot \rangle \langle \cdot \rangle \langle \cdot \rangle \langle \cdot \rangle$	2	Love	2	2
	$\triangle (1/2)$	2	Joy	1	1



rainskrument	Factor	domain	Bayesian	Frequentist
	1	Read	2	1
(:(())	1	TV	1	2
S/:///Q.E	1	Rest	3	3
ESS	2	Sit	1	3
E33	2	Car	5	5
	2	Talk	3	1
	2	Lunch	4	4
	2	Traffic	2	2
	1	Concentration	1	6
	1	Memory	4	7
	1	Hobby	2	3
	1	House	3	5
FOSQ-10	1	Done	5	1
4.5	1 -	Finance	7	4
1:11/1.F	1	Work	6	2
	2	Meal	3	3
	2	Short	1	1
	2	Long	2	2



Bayesian values lower by about 15%





AGREEMENT

CC coefficients

Sleep: 0.76

Kendall's Tau B

Sleep: 0.45

Krippendorf's alpha

• Sleep: 0.34

Bayesian values lower by about 15%





Bayesian values lower by about 15%

AGREEMENT

CC coefficients

• Generic: 0.18

• Sleep: 0.76

Kendall's Tau B

Generic: 0.19

Sleep: 0.45

Krippendorf's alpha

Generic: 0.28

Sleep: 0.34





Summary & Conclusions

Bayesian validity estimates were more conservative: Bayesian
 Discrimination Parameters were smaller than frequentist values.

 Agreement between Bayesian and frequentist results was higher for sleep-specific than generic instruments in both the CTT and IRT approaches.

 Selecting between Bayesian and frequentist CTT/IRT techniques may be crucial when validating generic instruments, especially in condition-specific populations







Thank You!



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